



<b>6</b>	<b>SYSTEMATIC ENCASHMENT PLAN (SEP)</b> (For investors in scheme(s) where applicable)										<input type="checkbox"/> Registration	<input type="checkbox"/> Cancellation									
<b>Scheme</b>	<input type="checkbox"/> HEF	<input type="checkbox"/> HIOF	<input type="checkbox"/> HMEF	<input type="checkbox"/> HIEF	<input type="checkbox"/> HDF	<input type="checkbox"/> HTSF	<input type="checkbox"/> HEMF	<input type="checkbox"/> HAPDF	<input type="checkbox"/> HBF	<input type="checkbox"/> HMIP	<input type="checkbox"/> HIF-STP	<input type="checkbox"/> HIF-IP	<input type="checkbox"/> HUSBF	<input type="checkbox"/> HGCOF	<input type="checkbox"/> HFDF	<input type="checkbox"/> HCF	<input type="checkbox"/> HMS-C	<input type="checkbox"/> HMS-G	<input type="checkbox"/> HMS-M		
<b>Plan</b>	<input type="text"/>										<b>Dividend Frequency</b>		<input type="checkbox"/> Daily** <input type="checkbox"/> Weekly <sup>i</sup> <input type="checkbox"/> Monthly <sup>#</sup> <input type="checkbox"/> Quarterly <sup>s</sup> <input type="checkbox"/> Fortnightly <sup>^</sup> <input type="checkbox"/> Half Yearly <sup>††</sup>								
<b>Option</b>	<input type="checkbox"/> Regular <input type="checkbox"/> Institutional <input type="checkbox"/> Institutional Plus										<b>Sub-Option</b>		<input type="checkbox"/> Growth <input type="checkbox"/> Dividend Reinvestment <sup>ss</sup> <input type="checkbox"/> Dividend Payout <sup>##</sup>								
<b>Withdrawal Options</b>	<input type="checkbox"/> Fixed Amount <input type="checkbox"/> Capital Appreciation										<b>Frequency (✓)</b>		<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly								
<b>Period of enrolment</b>	M	M	Y	Y	Y	Y	Y	Y	Y	Y	To	M	M	Y	Y	Y	Y	Y	Y	Y	
<p>Note : SEP will be processed on the 1st Business Day of the month.</p> <p>To be submitted 10 days prior to the SEP date in case of Registration &amp; 14 days incase of Cancellation.</p>																					
										<b>Withdrawal Amount</b> (Minimum Rs. 1000 and in multiples of Re. 1/- thereafter)		Rs. <input type="text"/>								Redemption amount will equal appreciation	

7	<b>SWITCH</b> (Please your choice of Scheme / Plan / Option / Sub-option) KYC MANDATORY w.e.f. January 1, 2011. Please enclose KYC acknowledgement.																			
	Switch From										Switch To									
	Scheme Name										Scheme Name									
	<input type="checkbox"/> HEF <input type="checkbox"/> HIOF <input type="checkbox"/> HMEF <input type="checkbox"/> HIEF <input type="checkbox"/> HDF <input type="checkbox"/> HTSF <input type="checkbox"/> HEMF <input type="checkbox"/> HAPDF <input type="checkbox"/> HBF <input type="checkbox"/> HMIP <input type="checkbox"/> HIF-STP <input type="checkbox"/> HIF-IP <input type="checkbox"/> HUSBF <input type="checkbox"/> HGCOF <input type="checkbox"/> HFDF <input type="checkbox"/> HCF <input type="checkbox"/> HMS-C <input type="checkbox"/> HMS-G <input type="checkbox"/> HMS-M										<input type="checkbox"/> HEF <input type="checkbox"/> HIOF <input type="checkbox"/> HMEF <input type="checkbox"/> HIEF <input type="checkbox"/> HDF <input type="checkbox"/> HTSF <input type="checkbox"/> HEMF <input type="checkbox"/> HAPDF <input type="checkbox"/> HBF <input type="checkbox"/> HMIP <input type="checkbox"/> HIF-STP <input type="checkbox"/> HIF-IP <input type="checkbox"/> HUSBF <input type="checkbox"/> HGCOF <input type="checkbox"/> HFDF <input type="checkbox"/> HCF <input type="checkbox"/> HMS-C <input type="checkbox"/> HMS-G <input type="checkbox"/> HMS-M									
	Plan										Plan									
	Option										Sub-option									
	<input type="checkbox"/> Regular <input type="checkbox"/> Institutional <input type="checkbox"/> Institutional Plus <input type="checkbox"/> Growth (default) <input type="checkbox"/> Dividend Reinvestment <input type="checkbox"/> Dividend Payout										<input type="checkbox"/> Growth (default) <input type="checkbox"/> Dividend Reinvestment <input type="checkbox"/> Dividend Payout <input type="checkbox"/> Daily** <input type="checkbox"/> Weekly <sup>†</sup> <input type="checkbox"/> Fortnightly <sup>^</sup> <input type="checkbox"/> Monthly <sup>#</sup> <input type="checkbox"/> Quarterly <sup>\$</sup> <input type="checkbox"/> Half Yearly <sup>††</sup>									
<input type="checkbox"/> Daily** <input type="checkbox"/> Weekly <sup>†</sup> <input type="checkbox"/> Fortnightly <sup>^</sup> <input type="checkbox"/> Monthly <sup>#</sup> <input type="checkbox"/> Quarterly <sup>\$</sup> <input type="checkbox"/> Half Yearly <sup>††</sup>										<input type="checkbox"/> Daily** <input type="checkbox"/> Weekly <sup>†</sup> <input type="checkbox"/> Fortnightly <sup>^</sup> <input type="checkbox"/> Monthly <sup>#</sup> <input type="checkbox"/> Quarterly <sup>\$</sup> <input type="checkbox"/> Half Yearly <sup>††</sup>										
<input type="checkbox"/> Amount (Rs. in figures)										<input type="checkbox"/> Amount (Rs. in figures)										
OR <input type="checkbox"/> No. of Units										OR <input type="checkbox"/> No. of Units										
<input type="checkbox"/> All Units										<input type="checkbox"/> All Units										

8	SYSTEMATIC TRANSFER PLAN (STP) (For investors in scheme(s) where applicable)												<input type="checkbox"/> Registration		<input type="checkbox"/> Cancellation												
Transfer From														Transfer To Scheme													
Scheme Name <input type="checkbox"/> HIF-STP <input type="checkbox"/> HIF-IP <input type="checkbox"/> HMIP <input type="checkbox"/> HUSBF														Scheme Name <input type="checkbox"/> HEF <input type="checkbox"/> HIOF <input type="checkbox"/> HMEF <input type="checkbox"/> HTSF <input type="checkbox"/> HIEF <input type="checkbox"/> HEMF													
<input type="checkbox"/> HFDF <input type="checkbox"/> HCF														<input type="checkbox"/> HDF <input type="checkbox"/> HAPDF <input type="checkbox"/> HBF <input type="checkbox"/> HMS-C <input type="checkbox"/> HMS-G <input type="checkbox"/> HMS-M <input type="checkbox"/> HGCOF													
Plan <input type="text"/>														Plan <input type="text"/>													
Option <input type="checkbox"/> Regular <input type="checkbox"/> Institutional <input type="checkbox"/> Institutional Plus														Option <input type="checkbox"/> Growth* <input type="checkbox"/> Dividend Reinvestment <sup>\$\$</sup> <input type="checkbox"/> Dividend Payout													
Sub-option <input type="checkbox"/> Growth (default) <input type="checkbox"/> Dividend Reinvestment <input type="checkbox"/> Dividend Payout														Amount per instalment Rs. <input type="text"/>													
Dividend <input type="checkbox"/> Daily** <input type="checkbox"/> Weekly <sup>†</sup> <input type="checkbox"/> Fortnightly <sup>^</sup>														(Minimum transfer amount Rs. 1000/- except HTSF. For HTSF Rs. 500/-)													
<input type="checkbox"/> Monthly <sup>#</sup> <input type="checkbox"/> Quarterly <sup>\$</sup> <input type="checkbox"/> Half Yearly <sup>††</sup>														STP Date (✓) <input type="checkbox"/> Monthly* <input type="checkbox"/> 3rd <input type="checkbox"/> 10th <input type="checkbox"/> 17th <input type="checkbox"/> 26th <input type="checkbox"/> 30th <sup>##</sup> <input type="checkbox"/> All Dates													
Installment commencing From <input type="text"/> To <input type="text"/>														<sup>##</sup> Last Business Day of the month for February. Minimum period for STP is 3 months.													
To be submitted 10 days prior to the STP date incase of Registration & 14 days incase of Cancellation.																											
<sup>**</sup> Applicable for HCF & HUSBF only. <sup>†</sup> Applicable for HCF, HIF-ST, & HUSBF. <sup>#</sup> Applicable for HCF, HIF-ST, HMIP, HUSBF, and HFDF. <sup>\$</sup> Applicable for HIF-IP, HMIP, and HFDF. <sup>^</sup> Applicable for HFDF. <sup>††</sup> Applicable for HFDF only. Please note that dividend payout is available only in the Monthly, Quarterly & Half yearly sub option for all schemes & under scheme HCFWD for dividend amount equal or greater to INR 250/-. Dividend Reinvestment is not applicable in case of HTSF. Dividend payout will be dependent on the scheme																											

<b>9</b>	<b>NON-INTENTION TO NOMINATE (Mandatory for new Folios of Individuals where mode of holding is single and who do not wish to nominate)</b>			
Please <input checked="" type="checkbox"/> <input type="checkbox"/> I/We hereby confirm that I/We do not wish to exercise the right of nomination in respect of units subscribed/purchased by me/us.				
<b>Signature(s)</b>	Sole/First Applicant	Second Applicant	Third Applicant	
<b>OR</b>				
<b>UPDATION OF NOMINEE DETAILS (Mandatory for new Folios of Individuals where mode of holding is single)</b> (ref. Instructions for Nomination)				
I/We _____ (Unit holder 1), _____ (Unit holder 2)				
and _____ (Unit holder 3) *do hereby nominate the person(s) more particularly described hereunder/and*/cancel the nomination				
made by me/us on the _____ day _____ of in respect of the Units under Folio No. _____ (*strike out which is not applicable)				
Name & Address of Nominee(s)	Date of Birth	Name & Address of Guardian (To be furnished in case the Nominee is a Minor)	Signature of Nominee / Guardian of Nominee (Optional)	Proportion (%) in which the units will be shared by each Nominee†
Nominee 1				
Nominee 2				
Nominee 3				

<b>10</b>	<b>DECLARATION AND SIGNATURES (In case of joint holding, signatures of all unit holders are mandatory)</b>		
	<b>CONSENT FOR UPDATION AND VALIDATION OF AADHAAR</b>		
<p>I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for collecting, storing and usage (i) validating / authenticating and (ii) updating my / our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA.</p> <p>I/We hereby provide my / our consent for sharing / disclosing of my Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios.</p>			
<b>OTHER DECLARATIONS</b>			
<p>The Trustees, HSBC Mutual Fund</p> <p>Having read and understood the contents of the Combined Scheme Information Document, SAI and Addenda of the Scheme(s) issued till date, I / We hereby apply under Direct / AMFI Certified empanelled distributors to the Trustees of HSBC Mutual Fund for units of the Scheme / Plan / Option as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I / We have understood the details of the Scheme and I / We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this in-vestment. I / We hereby authorise HSBC Mutual Fund, its Investment Manager and its Agents to disclose details of my / our investment to my/our bank(s) / HSBC Mutual Fund's Bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us. I / We hereby declare that the particulars given above are correct and express my / our willingness to make payments referred above through participation in ECS / Direct Debit Facility. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/ We would not hold HSBC Asset Management (India) Pvt. Ltd. (Investment Manager to HSBC Mutual Fund), their appointed service providers or representa-tives responsible. I / We will also inform HSBC Asset Management (India) Pvt. Ltd., about any changes in my / our bank account. I / We have read and agreed to the terms and conditions for ECS / Direct Debit. *1/ We confirm that I am / are are Non-Residents of Indian Nationality / Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO / FCNR Account. I / We confirm that the details provided by me / us are true and correct. I / We hereby declare that the amount being invested by me/us in the Scheme(s) of HSBC Mutual Fund is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any governmental or statutory authority from time to time. *Applicable to NRI</p> <p>I / We confirm that the ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode) payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.</p> <p>We confirm that we have not issued any bearer shares or share warrants. We also confirm that we will inform the AMC if bearer shares or share warrants are issued subsequently.</p> <p>I / We confirm that I am/We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I / We shall notify the AMC, in which event the AMC reserves the right to redeem my / our investments in the Scheme(s).</p>			
<b>SIGNATURE(S) Signature should be in Black or Blue ink only.</b>			
<b>Sole / First Unitholder / Guardian / PoA</b>		<b>Second Unitholder / PoA</b>	<b>Third Unitholder / PoA</b>
Date			

## INSTRUCTIONS

**GENERAL INSTRUCTIONS :** 1. This Form is for use by existing unitholders of all funds of HSBC Mutual Fund only. Please use a separate Form for each transaction. 2. If any alteration is made, then a countersign is mandatory. 3. Investors should refer to the Combined Scheme Information Document, Statement of Additional Information, Addenda and KIM of the respective Scheme(s) carefully before filling the Application Form. 4. Please refer to the Combined Scheme Information Document, Statement of Additional Information for cut-off timings, available product features, add-ons and dividend frequency, minimum additional purchase amounts etc. The amount in words and figures on the cheque should not be in local languages. 5. In case of multiple holders, the dividend (if applicable) and redemption amount will be paid to the first holder. 6. Additional Purchases - Cheque/DD must be drawn in the name of the Scheme or its abbreviation as applicable and crossed "Account payee only". Outstation cheques will not be accepted. 7. Bank charges for demand drafts will be borne by the AMC and will be limited to the bank charges as for amount up to Rs. 10,000/- DD Charges are as actuals, subject to a maximum of Rs. 50/- and for amount above Rs.10,000/- at Rs. 3.50/- per Rs. 1000/- Minimum Rs. 50/- and Maximum Rs. 12,500/- The AMC will not entertain any request for refund of demand draft charges. Outstation cheques / outstation demands draft will not be accepted. 8. Redemption amounts should not be less than minimum repurchase amounts. 9. **Guidance for other proofs :** Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges/affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

**INSTRUCTIONS FOR NOMINATION:** Applicants applying for Units singly / jointly can also make the nomination at the time of initial investment. Investors are advised to consider availing nomination facility in their own interest. (a) The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. (b) Where a folio has joint holders, all joint holders should sign the request for nomination/cancellation of nomination, even if the mode of holding is not "joint". Nomination form cannot be signed by Power of attorney (POA) holders. (c) There can be no nomination in a folio held in the name of minor. (d) The investors / Unitholders are requested to note that a maximum of three nominees can be registered for a Folio. In case of multiple nominees, the 'Share / Ratio' of nomination has to be clearly specified in the nomination form / request letter. If the 'Share / Ratio' of nomination is not explicitly stated, then the nomination shall be treated as 'Equal Share/Ratio'. (e) A minor can be nominated and in that event, the name and address of the Guardian of the minor nominee shall be provided by the Unitholder. (f) The Nominee shall not be a trust, (other than a religious or charitable trust) society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time. Nomination can also be made in favour of the Central Government, State Government, Local Authority, any person designated by virtue of his office or a religious or charitable trust. (g) Nomination in respect of the Units stands rescinded upon the transmission of Units. (h) Transmission of Units in favour of a Nominee shall be valid discharge by the AMC / Mutual Fund / Trustees against the legal heir. (i) The cancellation of nomination can be made only by those individuals who hold Units on their own behalf singly or jointly and who made the original nomination. (j) On cancellation of the nomination, the nomination shall stand rescinded and the AMC / Mutual Fund / Trustees shall not be under any obligation to transmit the Units in favour of the Nominee. (k) The rights in the units will rest in the Nominee(s) only upon death of all Unitholders. (l) Every new nomination for a folio/account will overwrite the existing nomination. (m) Nomination shall be mandatory for new folios/accounts opened by individual especially with sole holding and no new folios/accounts for individuals in single holding should be opened without nomination. (n) In case of investors opting to hold the Units in demat form, the nomination details provided by the investor to the depository participant will be applicable.