INDIABULLS ASSET MANAGEMENT COMPANY LIMITED
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Indiabulls MUTUAL FUND

FINANCIAL TRANSACTION FORM (For Existing Unit Holders only)

Please read the instructions overleaf carefully and complete the relevant section legibly in black/dark coloured ink and in BLOCK CAPITALS. Please strike out sections that you intend to leave blank.

Distributor ARN Code	Sub-Distributor ARN Code	Internal Sub-Broker / Employee Code	EUIN	Application No.
ARN-109217			E-150257	

Up front commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor.

☐ *I/We, hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.*

Sign Here	Sign Here	Sign Here
First/Sole Applicant/Guardian POA	Second Applicant	Third Applicant

1 UNIT HOLDERS' DETAILS (MANDATORY) *Refer Instruction No. II*

Sole / First Unit Holder Name Mr Ms. M/s FIRST MIDDLE LAST Folio No

2 ADDITIONAL PURCHASE REQUEST *Refer Instruction No. III*

Scheme ______ Plan ______

Option (✓) ☐ Growth ☐ Dividend Payout ☐ Dividend Reinvestment ☐ Dividend Frequency (✓) *Daily/Weekly/Fortnightly/Monthly*

Investment Amount ₹ A DD Charges ₹ B Net Amount ₹ A minus B

Mode of Payment (✓) ☐ Cheque ☐ DD ☐ Fund Transfer ☐ Others SPECIFY

Instrument No ______ Dated D D M M Y Y Y Y Drawn on ______ Branch & City ______

NRI Investor, please indicate source of funds for your Investment (✓) ☐ NRE ☐ NRO ☐ FCNR ☐ Others SPECIFY

DEMAT ACCOUNT DETAILS OF FIRST APPLICANT

☐ NSDL *please* ✓ Depository Participant (DP) ID ______ Beneficiary Account Number ______

☐ CDSL *please* ✓ Depository Participant (DP) ID ______

3 REDEMPTION REQUEST *Refer Instruction No. IV*

Scheme ______ Plan ______

Option (✓) ☐ Growth ☐ Dividend Amount (₹) ______ OR No of Units ______ OR All Units (✓) ☐

4 SWITCH REQUEST *Refer Instruction No. V*

From Scheme ______ To Scheme ______
Plan ______ Plan ______
Option (✓) ☐ Growth ☐ Dividend Option (✓) ☐ Growth ☐ Dividend Payout ☐ Dividend Reinvestment

Amount (Rs) ______ OR No of Units ______ OR All Units (✓) ☐

5 SYSTEMATIC WITHDRAWAL PLAN (SWP) *To be submitted at least 8 days before the 1st due date for withdrawal) Refer Instruction No.VI*

Scheme ______ Plan ______

Option (✓) ☐ Growth ☐ Dividend Withdrawal Date (✓) ☐ 2nd ☐ 8th ☐ 15th ☐ 23rd

Withdrawal Amount ₹ ______ X No of Installments ______ Withdrawal From D D M M Y Y Y Y (First Installment) To D D M M Y Y Y Y (Last Installment)

6 SYSTEMATIC TRANSFER PLAN (STP) *To be submitted at least 8 days before the 1st due date for withdrawal) Refer Instruction No.VI*

From Scheme ______ To Scheme ______
Plan ______ Plan ______
Option (✓) ☐ Growth ☐ Dividend Option (✓) ☐ Growth ☐ Dividend Payout ☐ Dividend Reinvestment

Transfer Amount ₹ ______ No of Installments ☐ Options (✓) ☐ Daily ☐ Weekly ☐ Monthly

Transfer Period From D D M M Y Y Y Y (First Installment) To D D M M Y Y Y Y (Last Installment) Monthly Transfer Date (✓) ☐ 2nd ☐ 8th ☐ 15th ☐ 23rd

7 UNIT HOLDER(S) SIGNATURE(S) *To be signed by ALL UNIT HOLDERS if mode of holding is 'Joint'.*

I/We have read and understood the contents of the respective Scheme Information Document, Statement of Additional Information and Key Information Memorandum of Indiabulls Mutual Fund. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly inmaking this transaction. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongstwhich the Scheme is being recommended to me/us.

Sole / 1st Applicant / Guardian / Authorised Signatory	2nd Applicant / Authorised Signatory	3rd Applicant / Authorised Signatory

ACKNOWLEDGMENT SLIP *To be filled in by the Investor*

Folio No. ______

TRANSACTION DETAILS (To be filled in by the First Applicant / Authorised Signatory):

☐ ADDITIONAL PURCHASE REQUEST		☐ REDEMPTION REQUEST ☐ SWITCH REQUEST ☐ SWP ☐ STP				Stamp Signature & Date
Cheque/DD No.		From/Scheme		To/Scheme		
Date		Plan/Option		Plan/Option		
Amount ₹		☐ Amount	IN WORDS			
Drawn on		☐ Units ₹	IN FIGURES			
		Date	☐ Monthly *(Please select date)* ☐ 2nd ☐ 8th ☐ 15th ☐ 23rd ☐ Weekly			

INSTRUCTIONS FINANCIAL TRANSACTION FORM

I. GENERAL INSTRUCTION:

1. This transaction form would help you make additional purchases in our various schemes, switch or redeem your existing investments or register for our Systematic Withdrawal Plan (SWP) or Systematic Transfer Plan (STP). Please read the respective SID / SAI or KIM carefully before investing.
2. Please strike off sections that are not relevant/ applicable. Please counter-sign in line with your mode of holding against any corrections made in the Transaction Form.
3. Please refer the 'GUIDANCE NOTES' below while filling the form.
4. Completed Transaction Forms should be submitted to your nearest Investor Service Centre (ISC) of Indiabulls Mutual Fund (IBMF) or Registrar and Transfer Agent (RTA).

II. UNITHOLDERS' DETAILS

1. Please furnish the name of the Sole/First Unit Holder and the Folio Number.

III. ADDITIONAL PURCHASE:

1. Please Specify your scheme details, investment amount and investment cheque details.
2. Your Investment cheque should be drawn in favour of scheme name in which you propose to invest.
3. Separate cheques and form should be given for each separate investment in a different scheme, plan or option.
4. If you are NRI Investor please indicate source of funds for investment the following mode of payments is not valid and applications accompanied by such payments is liable to be rejected: i) Outstation cheque ii) Third party cheques iii) Cash/Money Order / Postal Order iv) Post Dated cheques v) Multiple cheques for investment in one scheme or single cheque for investments in multiple schemes.

   Third party cheque(s) for investment/subscription shall be accepted, only in exceptional circumstances, as detailed below: 1) Payment by Parents/Grand-Parents/related persons for investment made on behalf of a minor. 2) Payment by Employer on behalf of employee under Systematic Investment Plans through Payroll deductions. 3) Custodian on behalf of a Foreign Institutional Investor (FII) or a client.

IV. REDEMPTION:

1. Please specify the scheme details and the amount/number of units you wish to redeem. If you wish to redeem all units, tick against the box provided for the purpose. If your redemption request specifies both amount and units for redemption, the latter would be processed.
2. Redemption payout would be made only to the Sole/First unit holder favouring the bank account appearing in our records.
3. If the balance in the Scheme/Plan/option after taking into account the redemption is below the minimum redemption size (either in amount or in units whichever is less), the AMC reserves the right to redeem the units and refunded.
4. The fund offers a facility to register multiple bank accounts and designate one of the bank account as "Default Bank Account"
5. BANK ACCOUNT FOR REDEMPTION PROCEEDS: Please note the following important points related to payment of redemption proceeds: 1. Proceeds of any redemption request will be sent only to a bank account that is already registered and validated in the folio at the time of redemption transaction processing. 2. Unit holder(s) may choose to mention any of the existing registered bank accounts with redemption request for receiving redemption proceeds. If no. registered bank account is mentioned, default bank account will be used.
6. If a new non-registered bank account is specified in the redemption request for receiving redemption proceed, then Default Bank Account will be used for redemption payout.

V. SWITCH

1. Please specify the source and target scheme details and amount/number of units you wish to switch.
2. If you wish to switch all units, please tick against the box provided for the purpose. If your switch request specifies both amount and units, the latter would be processed.
3. If the balance in the source Scheme/Plan/Option after taking into account the switch is below the minimum redemption size (either in amount or in units whichever is less), the AMC reserve the rights to switch entire balance in the source scheme to the target scheme.

VI. SYSTEMATIC WITHDRAWAL PLAN (SWP)

1. Please indicate a fixed amount you wish to withdraw. Please also specify the scheme details, the number of installments, the total withdrawal sought, the withdrawal frequency and the withdrawal period.
2. Minimum Transfer Size Rs. 1000/-in multiples of Re. 1.
3. Please note that your SWP request would be registered with us within 8 days from the date of lodgement of your SWP request. You can cancel the SWP request at any time by giving a written request for the same. The cancellation of a registered SWP request would take 8 days.
4. The investors can choose any one of the dates among 2nd, 8th, 15th or 23rd of every month as the SWP date (in case any of these days fall on a non-business day, the transaction will be effected on the next business day of the Scheme).The default SWP date and frequency will be 8th of every month and monthly option.

VII. SYSTEMATIC TRANSFER PLAN (STP)

1. Please specify the scheme details, your transfer installment amount, the number of installments, the total amount sought to be transferred, the transfer frequency and the transfer period
2. Minimum Transfer Size for Weekly and Monthly frequency is Rs.1000/- and in multiples of Re.1. (At the time of registration, the minimum invested amount in the source scheme should be Rs.6000/-). The minimum period will be 6 weeks in case of Weekly option and 6 months in case of Monthly option.
3. Minimum Transfer Size for Daily frequency is Rs.50/- and in multiples of Re.1. (At the time of registration, the minimum invested amount in the source scheme should be Rs.500/-). The minimum registration period will be 6 months.
4. The investors can choose any one of the dates among 2nd, 8th,15th or 23rd of every month as the STP date in case of Monthly option (if any of these days fall on a non-business day, the transaction will be effected on the next business day of the Scheme). STP for Weekly option will be processed on every Friday. Daily STP will not be processed on Saturday, Sunday & Public Holidays. The default STP date will be 15th of every month. The STP frequency will be monthly.

Transfer Out / Switch Out Scheme	Indiabulls Liquid Fund Indiabulls Ultra Short Term Fund
Transfer In / Switch In Scheme	Indiabulls Blue Chip Fund Indiabulls Income Fund Indiabulls Short Term Fund Indiabulls Gilt Fund

5. Please note that your STP request would be registered with us within 8 days from the date of lodgement of your STP Request. You can cancel the STP request at any time by giving a written request for the same. The cancellation of a registered STP request would take 8 days.
6. The STP will be automatically terminated if all units are liquidated or withdrawn from the source scheme or pledged or upon receipt of intimation of death of the unitholder. The units marked under lien or pledged in the source scheme shall not be eligible for STP.

Indiabulls Asset Management Company Limited
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Lines open from 9.30 am to 6.30 pm on all business day