

TRANSACTION SLIP

Corporate Office: Industrial Assurance Building, 4th Floor, Opp. Churchgate Station, Mumbai - 400020
Tel: 022-66016000 | Fax: 022-22880633 | Email ID: service@licmf.com | Website: www.licmf.com
Toll Free: 1800-258-5678



DISTRIBUTOR INFORMATION			FOR OFFICE USE ONLY		
Name & ARN Code#	Sub Broker Code	EUIN	Registrar Serial No	Date of Receipt	Time of Receipt
ARN-109217		E-150257			

#Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. In case purchase/ subscription amount is Rs. 10,000/- or more and the investor's Distributor has opted to receive "Transaction Charges" the same are deductible as applicable from the purchase/subscription amount and payable to the distributor. Units will issued against the balance amount invested.

☐ I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Signatures	First / Sole Applicant / Guardian	Second Applicant	Third Applicant
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INVESTOR DETAILS (Mandatory) Please fill in BLOCK Letters	
Folio No.	PAN No.
	KYC
	CKYC No.
1st Unit Holder Name	

DEMAT ACCOUNT DETAILS: (Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant). In case Unit holders do not provide their Demat Account details, an account statement shall be sent to them. Such investors will not be able to trade on the stock exchange. (Refer Inst. - 14)

NATIONAL SECURITIES DEPOSITORY LTD. (NSDL)		CENTRAL DEPOSITORY SERVICES (INDIA) LTD. (CDSL)	
Depository Participant Name:		Depository Participant Name:	
DPID No.:		Beneficiary A/c No.	
Beneficiary A/c No.			

SCHEMENAME:	PLAN/OPTION
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Nature of Transaction: (Please tick & fill up relevant details)

☐ **Purchase** : I/We would like to purchase units of the above mentioned scheme for amount (In fig.) (in words) Cheque/DDNumber dated Drawn on Bank & Branch A/c. No. Bank A/C type (Please ✓)

☐ Savings ☐ Current ☐ NRO ☐ NRE ☐ Mode of Payment (Please ✓) ☐ Cheque ☐ DD ☐ Funds Transfer ☐ RTGS/NEFT

☐ **Switch** : I/We would like to switch All units or of Partial units units or ₹ (amount in rupees) (in words) from above mentioned Schemeto Scheme Plan Option ☐ Growth ☐ Dividend Payout ☐ Dividend Re-Invest

☐ **Redemption** : I/we would like to redeem All units or of Partial units units or ₹ (amount in rupees) (in words) from above mentioned Scheme / Fund, redemption proceeds to be credited to the following Bank A/c. registered under this folio. Bank / Branch A/c. No.

☐ **Change of bank mandate** (Please provide copy of a cancelled cheque)

Bank Name	
Bank Address	
City	
State	Pin
Account No.	Payment Location
Account Type	9 digit MICR No.
	IFSC Code

DECLARATION		
I/We have read and understood the contents of the Statements of Additional Information (SAI), Scheme Information Document (SID), Key Information Memorandum (KIM) and addendum. I/We agree to abide by the terms, conditions, rules & regulations of the Scheme (s) as applicable from time to time.		
Amount invested in the Schemes is derived though legitimate source.		
Sole/1st Holder	2nd Holder	3rd Holder

ACKNOWLEDGEMENT (TO BE FILLED BY INVESTOR)

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Folio No.	
Received from Mr./Ms./M/s.	
Scheme	Plan
	Option
TRANSACTION Please tick (ü)	☐ PURCHASE ☐ SWITCH ☐ REDEMPTION ☐ CHANGE OF BANK MANDATE

Registrar & Transfer Agents: Karvy Computershare Pvt. Limited 46, Road No 4, Street No.1 Banjara Hills, Hyderabad - 500 034.
I Phone: 040 – 44677131-40 I Fax No: 040-23388705 I Email: licmf.customer@karvy.com I Website: www.karvycomputershare.com

FOR OFFICE USE
(Signature of receiving Authority)
Date/Time of receipt

1. For fresh purchases under new scheme, plan or option - please refer the respective SID/SAI/KIM for more details and default values.
2. To make an additional purchase, please send us a local cheque / DD payable at any of our branch/ authorised centre. Please make the cheque/DD payable in favour of the respective scheme. In pursuance to Best Practice Guidelines issued by Association of Mutual Funds in India [AMFI] Vide Circular No.135/BP/16/10 dated August 16th 2010 for acceptance of Third party cheques, LIC Mutual Fund Asset Management Ltd has decided henceforth not to accept subscriptions with Third-Party cheques* except in the following exceptional situations: a) Payment by Parents/Grand-Parents/related persons on behalf of a minor in consideration of natural love & affection or as gift for a value not exceeding Rs.50,000/- (for each regular purchase or per SIP installment). b) Payment by Employer on behalf of employee under Systematic Investment Plans through Payroll deductions. c) Custodian on behalf of an FII or a client. * Third Party Cheque means: a) When payment is made through instruments issued from an account other than that of the beneficiary investor, the same is referred to as Third-Party payment. b) In case of payments from a joint bank account, the first holder of the mutual fund folio has to be one of the joint holders of the bank account from which payment is made, other wise it will be treated as third party cheque. c) Investments from the investor's account with a different bank i.e., the pay-in & payout banks are different, if the pay-in bank mandate could not be established to be that of the investor, it will also be treated as third party investment.
3. PAN is mandatory for investments in Mutual Funds. KYC is mandatory for purchases, switch of units for all investors irrespective of the amount of investment and such transactions must be accompanied with a documentary proof of KYC compliance. In case of a minor, PAN and KYC details of the Guardian must be submitted. Application without this would be rejected by the Mutual Fund without any reference to the investor.
4. Investors shall note that KYC is mandatory and they need to comply with the 'Know Your Client' requirements, by submitting requisite documents to KYC Registration Agency. For more information on KYC, please log on to www.licmf.com before investing. Applications are liable to be rejected without any intimation to the applicants, if required KYC compliance is not complied by all the unit holders.
6. Requests for change from dividend option to growth option and vice versa should be given under switch request.
7. In case of Switch of units, investors shall ensure that they have read and understood the Scheme Information Document of the Switch in Scheme.
8. If there is no sufficient amount/ units, the balance available free units in the respective account will be redeemed/ switched.
9. Redemption may not be processed if folio number and full scheme name including plan and option is not mentioned. Please ensure that either of amount or units is mentioned in the redemption request. The fund offers a facility to register multiple bank accounts and designate one of the bank account as "Default Bank Account". Default Bank Account will be used for all dividends and redemptions payouts including FMP schemes maturity proceeds unless investor specifies one of the existing registered bank account in the redemption request for receiving redemption proceeds.
10. Redemption requests should not be accompanied with requests for Change of Bank Account Details. If the Change of Bank Account Detail request is received along with Redemption requests only the redemption request will be processed and the redemption proceeds will be credited to the last registered bank mandate & the request for Change of bank mandate will be rejected. New bank accounts can only be registered using the designated "Bank Account Registration Form/Multiple Bank Account Registration Form". In case the investor needs to add/alter the existing bank mandate he should carry out the same 10 business days prior to date of redemption.
11. Proceeds of any redemption request will be sent only to a bank account that is already registered and validated in the folio at the time of redemption transaction processing. Unit holder(s) may choose to mention any of the existing registered bank accounts with redemption request for receiving redemption proceeds. If no registered bank account is mentioned, default bank account will be used. If unit holder(s) provide a new and unregistered bank mandate with a specific redemption request (with or without necessary supporting documents) such bank account will not be considered for payment of redemption proceeds.
12. a. Separate redemption/Switch out request is required for Plan A and Direct Plan.
b. Redemption/Switch out request is required to clearly contain the name of the plan/option failing which the below mentioned business rule will apply
c. If the folio has both the Plans/option and Both plans have unit balance under same option then redemption will be processed in Plan A ii If the folio has both the Plans/option and One plan has NIL balance and other has unit balance under same option, redemption will be processed from Plan/option which has unit balance iii If the Folio has only one Plan/option then redemption will be processed from that Plan/option iv Folio has both the Plans and Both plans have unit balance under different options then the redemption request will be rejected
13. Demat Account: Applicants must ensure that the sequence of names as mentioned in the application form matches that of the account held with the Depository Participant. Client ID, Names, Address and PAN details, other KYC norms mentioned in the application form will be verified against the Depository data which will be downloaded from Depository master. Only those applications where the details are matched with the depository data, will be treated as valid applications for allotment of units in dematerialised form. If the details mentioned in the application are incomplete / incorrect, not matched with the depository data, the application shall be treated as invalid and shall be liable to be rejected / units will be issued / allotted by issuing physical account statements. To capture correct depository account details, investors are required to submit Client Master. For units held in demat form, the KYC performed by the Depository Participant of the applicants will be considered as KYC verification done by the Trustee/AMC. Please note that where the investor has furnished the details of their depository accounts in the Application Form, it will be assumed that the investor has opted for allotment in electronic form & the allotment will be made only in electronic form as default.
14. In accordance with SEBI circular No. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011, LIC Mutual Fund Asset Management Limited/Mutual Fund will deduct Transaction Charges from the purchase/ subscription amount received from the investors investing through a valid ARN Holder i.e. AMFI registered Distributor (provided the Distributor has opted to receive the Transaction Charges). Transaction Charge of Rs.100/- per purchase/subscription of Rs.10,000/- and above are deductible from the purchase/subscription amount and payable to the Distributor. The balance amount shall be invested. Transaction Charges shall not be deducted: (a) where the Distributor of the investor has not opted to receive any Transaction Charges (b) for purchases/subscriptions/total commitment amount in case of SIP of an amount less than Rs.10,000/- (c) for transactions other than purchases/subscriptions relating to new inflows i.e. through Switches/Systematic Transfers/Dividend Transfers/Dividend Reinvestment, etc. (d) for purchases/subscriptions made directly with the Fund (i.e. not through any Distributor); and (e) for purchases/subscriptions routed through Stock Exchange(s).
15. Employee Unique Identification Number (EUIIN): Further, SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the EUIIN obtained by him/her from AMFI in the Application Form. EUIIN would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Hence, if your investments are routed through a distributor please ensure that the EUIIN is correctly filled up in the Application Form. However, if the transaction is executed without any interaction or advice of the employee/ relationship manager / sales person of the distributor the EUIIN box may be left blank. In this case you are required to provide a duly signed declaration to this effect in the form. Overseas Distributors: Overseas Distributors are exempt from obtaining NISM certification and AMFI registration as per AMFI circular No. CIR/ ARN-14/12-13 dated July 13, 2012 and the EUIIN requirement as per AMFI Circular No.135/BP/33/2012-13 dated December 31, 2012. However, such Overseas Distributors are required to comply with the guidelines/ requirements as may be issued by AMFI /SEBI from time to time and also comply with the laws, rules and regulations of jurisdictions where they carry out their operations in the capacity of distributors.